

# BaFin Three-Point- Common depositories and Common safekeeper Disclaimer

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Click on the Depository, sub-depository or CSD to see the Three-Point-Declaration

- Common Depository (CD), appointed jointly by Clearstream Banking and Euroclear Bank to hold and service international securities issued in Classical Global Note or book-entry form;
- As Common Safekeeper (CSK), appointed jointly by Clearstream Banking and Euroclear Bank to hold international securities issued in New Global Note form;



	Depository	Sub-Depository	CSD
Bank of America	Received	N/A	N/A
Banque et Caisse d'Epargne	Received	N/A	N/A
Banque Internationale à Luxembourg	Received	N/A	N/A
BNP Paribas Securities Services, Lux Branch	Received	N/A	N/A
CACEIS Bank Luxembourg	Received	N/A	N/A
China Construction Bank (Asia) Corporation Limited	Received	N/A	N/A
Citibank Europe plc	Received	Received	N/A
Clearstream Banking AG, Germany	N/A <sup>1</sup>	N/A	N/A

 $<sup>^{\</sup>mathrm{1}}$  Banks located in Germany, are regulated by the BaFin and does not require a TPD.



DBS Bank Ltd Received	Received	N/A	N/A
Deutsche Bank AG	N/A <sup>2</sup>	N/A	N/A
Deutsche Bank AG, Hong Kong Branch	Received	N/A	N/A
Deutsche Bank AG, London Branch	Received	Received	N/A
Euroclear Bank	Received	N/A	N/A
HSBC Bank plc	Received	Received	N/A
Kredietbank SA Luxembourgeoise	Received	N/A	N/A
Mizuho Trust & Banking	Received	N/A	N/A
MUFG Bank Ltd.	Received	N/A	N/A
The Bank of New York Mellon	Received	Received	N/A
The Hongkong and Shanghai Banking Corp	Received	Received	N/A

 $<sup>^{\</sup>rm 2}$  Banks located in Germany, are regulated by the BaFin and does not require a TPD.

DEUTSCHE BÖRSE GROUP

Elavon Financial Services Limited	Received	N/A	N/A



For the attention of:

Clearstream Banking S.A. Network Management 42, avenue JF Kennedy L-1855 Luxembourg

05 MAY 2011

Dear Sirs,

We confirm that:

- 1. We have taken due note that the securities, which we at present credit or which we shall in future credit in the securities safekeeping accounts kept for Clearstream Banking S.A., belong to the customers of Clearstream Banking S.A.. These accounts are designated as customer accounts under the name of "Clearstream Banking".
- 2. With respect to the securities credited in these accounts, we may assert any security interest, lien, right of retention or any similar rights over these securities only for claims which arise from the purchase, administration and safe custody of these securities. We shall inform Clearstream Banking S.A. as soon as reasonably possible upon becoming aware that a third party levies any attachment upon or takes any other measures of compulsory execution in respect of these securities or of any other events which affect the securities.
- 3. Securities that are dematerialized or immobilized with a Central Securities Depository (CSD) are represented by book-entry records on our account with the said CSD. Securities issued in definitive form are kept in safe custody either in our own vaults or by our depositary banks in their own vaults in their home country in safekeeping accounts designated in the name of Clearstream Banking S.A. Under the respective depositary agreements, such depositary banks are not entitled, without specific approval, to entrust a third party in and outside their home country with the safe custody of such securities or to transfer them to another country.

Yours sincerely,

PAUL MELLON
Authorised Signatory

CHAD BURGE Authorised Signatory

[authorized signatories]

Tel: +44 (0)20 7174 4000 Fax: +44 (0)20 7174 6514



# BANQUE ET CAISSE D'EPARGNE DE L'ETAT LUXEMBOURG

depuis 1856

Service Securities

#### Confidential

For the attention of:

Clearstream Banking S.A. Network Management 42, avenue JF Kennedy L-1855 Luxembourg

Luxembourg, 09 May 2011

# Three-Points-Declaration ("Drei-Punkte-Erklärung")

Dear Sirs.

With reference to the circular 06-2010 issued by the Bundesanstalt für Finanzdienstleistungsaufsicht (BaFin), we herewith represent that:

- 1. We have taken due note that the securities, which we at present credit or which we shall in future credit in the securities safekeeping accounts kept for Clearstream Banking S.A., belong to the customers of Clearstream Banking S.A.. These accounts shall be designated "customers' account(s)".
- 2. With respect to the securities credited in these accounts, we may assert any security interest, lien, right of retention or any similar rights over these securities only for claims which arise from the purchase, administration and safe custody of these securities. We shall inform Clearstream Banking S.A. immediately if a third party levies any attachment upon or takes any other measures of compulsory execution in respect of these securities or of any other events which affect the securities.
- 3. Securities that are dematerialized or immobilized with a Central Securities Depository (CSD) are represented by book-entry records on our account with the said CSD. Securities issued in definitive form are kept in safe custody either in our own vaults or by our depositary banks in their own vaults in their home country in **safekeeping accounts designated in the name of Clearstream Banking S.A.** Under the respective depositary agreements, such depositary banks are not entitled, without specific approval, to entrust a third party in and outside their home country with the safe custody of such securities or to transfer them to another country.



Furthermore, we shall ensure by adequate agreement with our depository banks that this Three-Point-Declaration shall be applicable for our depository banks accordingly and that the depository banks shall be obliged to commit third parties entrusted with the effective safe custody accordingly as well.

Yours sincerely,

Pierre MAX
Division Head
International Depositaries

Carlo MATAGNE Head of Securities



Siège social 69, route d'Esch L-2953 Luxembourg Téléphone : +352 4590-1 Fax : +352 4590-2010 Clearstream Banking S.A.

Network Management 42, avenue JF Kennedy L-1855 Luxembourg

01st April 2011

# Three-Points-Declaration ("Drei-Punkte-Erklärung")

Dear Sirs.

With reference to the circular 06-2010 issued by the Bundesanstalt für Finanzdienstleistungsaufsicht (BaFin), we herewith represent in our capacity of Common Depositary that:

- 1. We have taken due note that the securities, which we at present credit or which we shall in future credit in the securities safekeeping accounts kept for Clearstream Banking S.A., belong to the customers of Clearstream Banking S.A.. Unless otherwise agreed, these accounts shall be designated "Clearstream Banking".
- 2. With respect to the securities credited in these accounts, we may assert any security interest, lien, right of retention or any similar rights over these securities only for claims which arise from the purchase, administration and safe custody of these securities. We shall inform Clearstream Banking S.A. immediately if a third party levies any attachment upon or takes any other measures of compulsory execution in respect of these securities or of any other events which affect the securities.
- 3. Securities that are dematerialized or immobilized with a Central Securities Depository (CSD) are represented by book-entry records on our account with the said CSD. Securities issued in definitive form are kept in safe custody either in our own vaults or by our depositary banks



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in their own vaults in their home country in safekeeping accounts designated in the name of Clearstream Banking S.A. Under the respective depositary agreements, such depositary banks are not entitled, without specific approval, to entrust a third party in and outside their home country with the safe custody of such securities or to transfer them to another country.

Furthermore, we shall ensure by adequate agreement with our depository banks that this Three-Point-Declaration shall be applicable for our depository banks accordingly and that the depository banks shall be obliged to commit third parties entrusted with the effective safe custody accordingly as well.

Yours sincerely,

Sèrge Munten Head of Securities Christian Verscheiden Deputy Director



For the attention of:

Clearstream Banking S.A. Network Management 42, avenue JF Kennedy L-1855 Luxembourg

Luxembourg, 30 March 2011

## Three-Points-Declaration ("Drei-Punkte-Erklärung")

Dear Sirs,

With reference to the circular 06-2010 issued by the Bundesanstalt für Finanzdienstleistungsaufsicht (BaFin), we herewith represent that:

- 1. We have taken due note that the securities, which we at present credit or which we shall in future credit in the securities safekeeping accounts kept for Clearstream Banking S.A., belong to the customers of Clearstream Banking S.A.. These accounts shall be designated "customers' account(s)".
- 2. With respect to the securities credited in these accounts, we may assert any security interest, lien, right of retention or any similar rights over these securities only for claims which arise from the purchase, administration and safe custody of these securities. We shall inform Clearstream Banking S.A. immediately if a third party levies any attachment upon or takes any other measures of compulsory execution in respect of these securities or of any other events which affect the securities.
- 3. Securities that are dematerialized or immobilized with a Central Securities Depository (CSD) are represented by book-entry records on our account with the said CSD. Securities issued in definitive form are kept in safe custody either in our own vaults or by our depositary banks in their own vaults in their home country in safekeeping accounts designated in the name of Clearstream Banking S.A. Under the respective depositary agreements, such depositary banks are not entitled, without specific approval, to entrust a third party in and outside their home country with the safe custody of such securities or to transfer them to another country.



Furthermore, we shall ensure by adequate agreement with our depository banks that this Three-Point-Declaration shall be applicable for our depository banks accordingly and that the depository banks shall be obliged to commit third parties entrusted with the effective safe custody accordingly as well.

Yours sincerely,

**David Lambert** 

Head of Operations Corporate Trust Services Luxembourg Guy Vanhakendover

Head of Corporate Trust Services Luxembourg



For the attention of:

Clearstream Banking S.A. Network Management 42, avenue JF Kennedy L-1855 Luxembourg

28 April 2017

# Three-Points-Declaration ("Drei-Punkte-Erklärung")

Dear Sirs,

With reference to the Circular 8/2015 issued by the Bundesanstalt für Finanzdienstleistungsaufsicht (BaFin), we herewith represent that:

- 1. We have taken due note that the securities, which we at present credit or which we shall in future credit in the securities safekeeping accounts kept for Clearstream Banking S.A., belong to the customers of Clearstream Banking S.A.. These accounts shall be designated "customers' account(s)".
- 2. With respect to the securities credited in these accounts, we may assert any security interest, lien, right of retention or any similar rights over these securities only for claims which arise from the purchase, administration and safe custody of these securities. We shall inform Clearstream Banking S.A. immediately if a third party levies any attachment upon or takes any other measures of compulsory execution in respect of these securities or of any other events which affect the securities.
- 3. Securities that are dematerialized or immobilized with a Central Securities Depository (CSD) are represented by book-entry records on our account with the said CSD. Securities issued in definitive form are kept in safe custody either in our own vaults or by our depositary banks in their own vaults in their home country in safekeeping accounts designated in the name of

#### **CACEIS Bank, Luxembourg Branch**

Clearstream Banking S.A. Under the respective depositary agreements, such depositary banks are not entitled, without specific approval, to entrust a third party in and outside their home country with the safe custody of such securities or to transfer them to another country.

Furthermore, we shall ensure by adequate agreement with our depository banks that this Three-Point-Declaration shall be applicable for our depository banks accordingly and that the depository banks shall be obliged to commit third parties entrusted with the effective safe custody accordingly as well.

Yours sincerely,

CACEIS Bank, Luxembourg Branch duly represented by

Michel GEORGES
Head of Client Unit
Banking Services

CACEIS Bank, Luxembourg Branch duly represented by

Adolphe MARCHAL Head of Banking Service - Support & Projectss



For the attention of: Jit Heng

Clearstream Banking S.A. Network Management 42, avenue JF Kennedy L-1855 Luxembourg

15 Jun 2020

# Three-Points-Declaration ("Drei-Punkte-Erklärung")

Dear Sirs,

With reference to the Circular 8/2015 issued by the Bundesanstalt für Finanzdienstleistungsaufsicht (BaFin), we herewith represent that:

- 1. We have taken due note that the securities, which we at present credit or which we shall in future credit in the securities safekeeping accounts kept for Clearstream Banking S.A., belong to the customers of Clearstream Banking S.A.. These accounts shall be designated "customers' account(s)".
- 2. With respect to the securities credited in these accounts, we may assert any security interest, lien, right of retention or any similar rights over these securities only for claims which arise from the purchase, administration and safe custody of these securities. We shall inform Clearstream Banking S.A. immediately if a third party levies any attachment upon or takes any other measures of compulsory execution in respect of these securities or of any other events which affect the securities.
- 3. Securities that are dematerialized or immobilized with a Central Securities Depository (CSD) are represented by book-entry records on our account with the said CSD. Securities issued in definitive form are kept in safe custody either in our own vaults or by our depositary banks in their own vaults in their home country in safekeeping accounts designated in the name of Clearstream Banking S.A. Under the respective depositary

agreements, such depositary banks are not entitled, without specific approval, to entrust a third party in and outside their home country with the safe custody of such securities or to transfer them to another country.

Furthermore, we shall ensure by adequate agreement with our depository banks that this Three-Point-Declaration shall be applicable for our depository banks accordingly and that the depository banks shall be obliged to commit third parties entrusted with the effective safe custody accordingly as well.

Yours sincerely,

Alan Lai

**Donna Healy** 

CHINA CONSTRUCTION BANK (ASIA) CORPORATION LIMITED (中國事場行為外股海影響)

[authorized signatories]



For the attention of: Clearstream Banking S.A. Network Management 42, avenue JF Kennedy L-1855 Luxembourg

08th June 2018

Three-Points-Declaration (Drei-Punkte-Erklärung")

Dear Sirs,

With reference to the Circular 8/2015 issued by the Bundesanstalt für Finanzdienstleistungsaufsicht (BaFin), we herewith represent that:

Citibank Europe Plc in its capacity as common depository and common safekeeper selected and appointed by you under the Common Depository agreement and Common Safekeeper agreement (as amended from time to time) by and between you and Citibank Europe Plc (the "depository") confirms the following:

- We have taken due note that the securities, which we at present credit or which we shall in future credit in the securities safekeeping accounts kept for Clearstream Banking S.A., belong to the customers of Clearstream Banking S.A. These accounts shall be designated "customers' account(s)".
- 2. With respect to the securities credited in these accounts, we may assert any security interest, lien, right of retention or any similar rights over these securities only for claims which arise from the purchase, administration and safe custody of these securities. We shall inform Clearstream Banking S.A. immediately if a third party levies any attachment upon or takes any other measures of compulsory execution in respect of these securities or of any other events which affect the securities.
- 3. Securities that are dematerialized or immobilized with a Central Securities Depository (CSD) are represented by book-entry records on our account with the said CSD. Securities issued in definitive form are kept in safe custody either in our own vaults or by our depositary banks in their own vaults in their home country in safekeeping accounts designated in the name of Clearstream Banking S.A. Under the respective depositary agreements, such depositary banks are not entitled, without specific approval, to entrust a third party in and outside their home country with the safe custody of such securities or to transfer them to another country.

Furthermore, we shall ensure by adequate agreement with our depository banks that this Three-Point-Declaration shall be applicable for our depository banks accordingly and that the depository banks shall be obliged to commit third parties entrusted with the effective safe custody accordingly as well.

Yours sincerely

Citibank Europe Plc.



For the attention of: Citibank Europe Plc 1 North Wall Quay Dublin 1, Ireland

08th June 2018

Three-Points-Declaration (Drei-Punkte-Erklärung")

Dear Sirs,

With reference to the Circular 8/2015 issued by the Bundesanstalt für Finanzdienstleistungsaufsicht (BaFin), we herewith represent that:

Citibank Europe Plc, Germany Branch in its capacity as sub common depository selected and appointed by you under the Common Depository agreement (as amended from time to time) by and between Citibank Europe Plc and Clearstream Banking SA confirms the following;

- We have taken due note that the securities, which we at present credit or which we shall in future credit in the securities safekeeping accounts kept for Citibank Europe Plc, Dublin on behalf of Clearstream Banking S.A., belong to the customers of Clearstream Banking S.A. These accounts shall be designated "customers' account(s)".
- 2. With respect to the securities credited in these accounts, we may assert any security interest, lien, right of retention or any similar rights over these securities only for claims which arise from the purchase, administration and safe custody of these securities. We shall inform Citibank Europe Plc, Dublin immediately if a third party levies any attachment upon or takes any other measures of compulsory execution in respect of these securities or of any other events which affect the securities.
- 3. Securities that are dematerialized or immobilized with a Central Securities Depository (CSD) are represented by book-entry records on our account with the said CSD. Securities issued in definitive form are kept in safe custody either in our own vaults or by our depositary banks in their own vaults in their home country in safekeeping accounts designated in the name of Clearstream Banking S.A. Under the respective depositary agreements, such depositary banks are not entitled, without specific approval, to entrust a third party in and outside their home country with the safe custody of such securities or to transfer them to another country.

Furthermore, we shall ensure by adequate agreement with our sub-depository banks that this Three-Point-Declaration shall be applicable for our sub-depository banks accordingly and that the sub-depository banks shall be obliged to commit third parties entrusted with the effective safe custody accordingly as well.

Yours sincerely,

Citibank Europe Pls, Germany Branch



For the attention of:

Clearstream Banking S.A. Network Management 42, avenue JF Kennedy L-1855 Luxembourg

0! April 2011

# Three-Points-Declaration ("Drei-Punkte-Erklärung")

Dear Sirs,

We hereby represent that:

- We have taken due note that the securities, which we at present credit or which we shall in future credit in the securities safekeeping accounts kept for Clearstream Banking S.A., belong to the customers of Clearstream Banking S.A., These accounts shall be designated "customers' account(s)".
- 2. With respect to the securities credited in these accounts, we may assert any security interest, lien, right of retention or any similar rights over these securities only for claims which arise from the purchase, administration and safe custody of these securities. To the extent legally permissible and practicable, we shall inform Clearstream Banking S.A. immediately if we become aware of a third party levying any attachment upon or taking any other measures of compulsory execution in respect of these securities or of any other events which affect the securities.
- 3. Book-entry securities held with the Singapore Central Depository (Pte) Limited ("CDP") are represented by book-entry records on our account with CDP. Securities issued in physical form are kept in safe custody either in our own vaults or by our depositary banks in their own vaults in their home country in safekeeping accounts designated in the name of Clearstream Banking S.A. Under the respective depositary agreements, such depositary banks are not entitled, without specific approval, to entrust a third party in and outside their home country with the safe custody of such securities or to transfer them to another country.

Yours sincerely,

Soh Ee Fong Managing Director GTS - Securities & Fiduciary Services

Keh Siong Kee Specimen Signature No. 7088 DBS Bank Ltd Securities & Fiduciary Services Global Transaction Services Institutional Banking Group 6 Shenton Way #14-01 DBS Building Tower One Singapore 068809 Tel: 65 6878 8888 Fax: 65 6878 3977 Telex: RS 24455 DBSCFS SWIFT Dest: DBSSSGSG



Deutsche Bank AG Hong Kong Branch

52/F International Commerce Centre 1 Austin Road West Kowloon Hong Kong

Tel. (852) 2203 8888 Fax (852) 2203 7801

Clearstream Banking Luxembourg

42 Avenue JF Kennedy L-1855 Luxembourg Luxembourg Attn: Arnaud Delestienne

21st April 2011

# Three Point Declaration (Drei-Punkte Erklärung) for account (s)

Depositary codes: 20

With respect to the circular letter of the German Financial Supervisory Authority, BaFin, dated 2<sup>nd</sup> July 2010, we, Deutsche Bank Hong Kong (hereinafter the "Custodian"), confirm that

- 1. We have taken notice that customer securities are/will be held in custody.
- 2. Custodian shall have no right, charge, security interest, lien, right of retention or claim of any kind to the securities other than a lien attached to the assets as security for fees reasonably arising from the purchase, administration and safekeeping of the securities and that Clearstream Banking Luxembourg will be informed in the event that a third party initiates seizure or other execution in connection with the said securities.
- 3. Custodian has no right to assign a third party with the custody of the deposited securities or to dislocate them to a foreign country without the consent of Clearstream Banking Luxembourg.

Signed on behalf of Deutsche Bank Hong Kong acting as CDP for Clearstream Banking Luxembourg.



Deutsche Bank AG Hong Kong Branch

52/F International Commerce Centre 1 Austin Road West Kowloon Hong Kong

Tel. (852) 2203 8888 Fax (852) 2203 7801

21st April 2011

# Clearstream Banking Luxembourg

42 Avenue JF Kennedy L-1855 Luxembourg Luxembourg Attn: Arnaud Delestienne

# Three Point Declaration (Drei-Punkte Erklärung) for account (s)

Depositary codes: K0

With respect to the circular letter of the German Financial Supervisory Authority, BaFin, dated 2<sup>nd</sup> July 2010, we, Deutsche Bank Hong Kong (hereinafter the "Custodian"), confirm that

- 1. We have taken notice that customer securities are/will be held in custody.
- 2. Custodian shall have no right, charge, security interest, lien, right of retention or claim of any kind to the securities other than a lien attached to the assets as security for fees reasonably arising from the purchase, administration and safekeeping of the securities and that Clearstream Banking Luxembourg will be informed in the event that a third party initiates seizure or other execution in connection with the said securities.
- Custodian has no right to assign a third party with the custody of the deposited securities or to dislocate them to a foreign country without the consent of Clearstream Banking Luxembourg.

Signed on behalf of Deutsche Bank Hong Kong acting as CSK for Clearstream Banking Luxembourg.



For the attention of:

Clearstream Banking Luxembourg 42 Avenue JF Kennedy L-1855 Luxembourg Luxembourg

19th April 2011

# Three Point Declaration (Drei-Punkte Erklärung) for account (s)

Depositary codes: K2

With respect to the circular letter of the German Financial Supervisory Authority, BaFin, dated 2<sup>nd</sup> July 2010, we, Deutsche Bank London (hereinafter the "Custodian"), confirm that

- We have taken notice that customer securities are/will be held in custody.
- 2. Custodian shall have no right, charge, security interest, lien, right of retention or claim of any kind to the securities other than a lien attached to the assets as security for fees reasonably arising from the purchase, administration and safekeeping of the securities and that Clearstream Banking Luxembourg will be informed in the event that a third party initiates seizure or other execution in connection with the said securities.
- 3. Custodian has no right to assign a third party with the custody of the deposited securities or to dislocate them to a foreign country without the consent of Clearstream Banking Luxembourg.

Signed on behalf of Deutsche Bank London acting as CSK for Clearstream Banking Luxembourg. D. R. CHARDS VILL PRESIDENS

Chrisman of the Supervisory Board: Clemens Börsig. Management Board: Josef Ackermann (Chairman), Hugo Benziger, Jürgen Fitschen, Anshuman Jain, Stefan Krause. Hermann-Josef Lamberti, Rainer Neske.

Deutsche Bank AG is authorised under German Banking Law (competent authority: BaFin - Federal Financial Supervisory Authority) and authorised and subject to limited regulation by the Financial

Services Authority, a member of the London Stock Exchange. Deutsche Bank AG is a joint stock corporation with invited liability incorporated in the Federal Republic of Germany HRB 30 000 District Court of Frankfurt am Main; Branch Registration in England and Wales BR000005; Registered Address: Winchester House, 1 Great Winchester Street, London FCON 2DB ((ddb)); Datals should the Court of transform and wain, struction negistration in England and viviles on booking, negistered Address. Winchester House, 1 Great Winchester Street, London EC2N 2DB (04/09) Details about the extent of our authorisation and regulation by the Financial Services Authority are available on request or from www.db.com/en/content/eu\_disclosures.htm



For the attention of:

Clearstream Banking Luxembourg 42 Avenue JF Kennedy L-1855 Luxembourg Luxembourg

19th April 2011

# Three Point Declaration (Drei-Punkte Erklärung) for account (s)

Depositary codes: 29

With respect to the circular letter of the German Financial Supervisory Authority, BaFin, dated 2<sup>nd</sup> July 2010, we, Deutsche Bank London (hereinafter the "Custodian"), confirm that

- 1. We have taken notice that customer securities are/will be held in custody.
- 2. Custodian shall have no right, charge, security interest, lien, right of retention or claim of any kind to the securities other than a lien attached to the assets as security for fees reasonably arising from the purchase, administration and safekeeping of the securities and that Clearstream Banking Luxembourg will be informed in the event that a third party initiates seizure or other execution in connection with the said securities.
- 3. Custodian has no right to assign a third party with the custody of the deposited securities or to dislocate them to a foreign country without the consent of Clearstream Banking Luxembourg.

Chairman of the Supervisory Board Clemens Borsig: Management Board: Josef Ackermann (Chairman), Hugo Banziger, Jurgen Fitschen, Anshuman Jain, Stefan Krause, Hermann-Josef Lamborti, Rainor Neske.

Services Authority, a member of the London Stock Exchange. Deutsche Bank AG is a joint stock corporation with limited liability incorporated in the Foderal Republic of Germany HRB 30 000 District Court of Frankfurt am Main; Branch Registration in England and Wales BR000005; Registered Address. Winchester House, I. Great Winchester Street, London EC2N 2D8 (04/09) Details about the extent of our authorisation and regulation by the Financial Services Authority are available on request or from www.db.com/en/content/eu/disclosures.htm



For the attention of:

Deutsche Bank London 1 Great Winchester Street EC2N 2DB London United Kingdom

19th April 2011

# Three Point Declaration (Drei-Punkte Erklärung) for account (s)

Function: Sub Depositary

With respect to the circular letter of the German Financial Supervisory Authority, BaFin, dated 2<sup>nd</sup> July 2010, we, Deutsche Bank Luxembourg (hereinafter the "Custodian"), confirm that

- 1. We have taken notice that customer securities are/will be held in custody.
- 2. Custodian shall have no right, charge, security interest, lien, right of retention or claim of any kind to the securities other than a lien attached to the assets as security for fees reasonably arising from the purchase, administration and safekeeping of the securities and that Clearstream Banking Luxembourg will be informed in the event that a third party initiates seizure or other execution in connection with the said securities.
- Custodian has no right to assign a third party with the custody of the deposited securities or to dislocate them to a foreign country without the consent of Clearstream Banking Luxembourg.

erge PEREIRA Anne Debay

Signed on behalf of Deutsche Bank Luxembourg acting as Sub Depositary for Deutsche Bank London.



EUROCLEAR BANK SA/NV

I BOULEVARD DU ROI ALBERT II

1210 BRUSSELS, BELGIUM

RPM BRUSSELS 0429 875 591

TEL. +32 (0)2 326 1211

www.euroclear.com

#### Confidential

Clearstream Banking S.A.
Attention of Mrs Inez Fedorovo
Network Management
Avenue JF Kennedy 42
L-1855 Luxembourg
Grand Duchy of Luxembourg

4 April 2011

### Three-Points-Declaration ("Drei-Punkte-Erklärung")

Dear Madam,

With reference to the circular 06-2010 issued by the Bundesanstalt für Finanzdienstleistungsaufsicht (BaFin), we herewith represent that:

- 1. We have taken due note that the securities, which we at present credit or which we shall in future credit in the securities safekeeping accounts kept for Clearstream Banking S.A., belong to the customers of Clearstream Banking S.A.. These accounts shall be designated "customers' account(s)".
- 2. With respect to the securities credited in these accounts, we may assert any security interest, lien, right of retention or any similar rights over these securities only for claims which arise from the purchase, administration and safe custody of these securities. We shall inform Clearstream Banking S.A. immediately if a third party levies any attachment upon or takes any other measures of compulsory execution in respect of these securities or of any other events which affect the securities.
- 3. Securities that are dematerialized or immobilized with a Central Securities Depository (CSD) are represented by book-entry records on our account with the said CSD. Securities issued in definitive form are kept in safe custody either in our own vaults or by our depositary banks in their own vaults in their home country in safekeeping accounts designated in the name of Euroclear Bank SA/NV. Under the respective depositary agreements, such depositary banks are



EUROCLEAR BANK SA/NV I BOULEVARD DU ROI ALBERT II 1210 BRUSSELS, BELGIUM RPM BRUSSELS 0429 875 591 TEL. +32 (0)2 326 1211 www.euroclear.com

> not entitled, without specific approval, to entrust a third party in and outside their home country with the safe custody of such securities or to transfer them to another country.

> Furthermore, we shall ensure by adequate agreement with our depository banks that this Three-Point-Declaration shall be applicable for our depository banks accordingly and that the depository banks shall be obliged to commit third parties entrusted with the effective safe custody accordingly as well.

Yours sincerely,

Printed name:

Title: Ive Cryns Director

Title: erico Becerra Director



For the attention of:

Clearstream Banking S.A. Network Management 42, Avenue JF Kennedy L-1855 Luxembourg

11 May 2011

# Three-Points-Declaration ("Drei-Punkte-Erklärung")

Dear Sirs,

We herewith represent that:

- 1. We have taken due note that the securities, which we at present credit or which we shall in future credit in the securities safekeeping accounts kept for Clearstream Banking S.A., belong to the customers of Clearstream Banking S.A.. These accounts shall be designated "customers' account(s)".
- 2. With respect to the securities credited in these accounts, we may assert any security interest, lien, right of retention or any similar rights over these securities only for claims which arise from the purchase, administration and safe custody of these securities. We shall inform Clearstream Banking S.A. immediately if a third party levies any attachment upon or takes any other measures of compulsory execution in respect of these securities or of any other events which affect the securities.
- 3. Securities held for Clearstream Banking S.A. are represented by global certificates immobilised and kept in safe custody either in our own vaults or by our depositary banks in their own vaults in their home country. Under the respective depositary agreements, such depositary banks are not entitled, without our prior written consent, to delegate its duties to a third party in or outside their home country.

Yours sincerely,

HSBC Bank plc Global Banking and Markets HSBC Securities Services Corporate Trust & Loan Agency Level 24, 8 Canada Square, London E14 5HQ Tel: +44 207 991 3736 Fax: +44 207 260 8086 **HSBC** Bank plc

as Common Safe Keeper

**Authorised Signatory** 

By:

Name: Rosert Line

Title: HEAD OF ET CUEST SERVICES

**Authorised Signatory** 

By:

Name: Street Robertson

Title: Heed of Compathan Delt

HSBC Private Bank (C.I.) Limited, Jersey Branch

as Sub-Depositary

**Authorised Signatory** 

Pamilalees

By:

Name:

Pamela Rees

Title:

Authorised Signatory

Authorised Signatory

By:

Name:

lan Graham

Title:

Authorised Signatory



HSBC Bank plc
8 Canada Square
London
E14 5HQ
For the attention of: Bob King
Head of Corporate Trust Client Services

c.c.

Clearstream Banking S.A.
42, Avenue JF Kennedy
L-1855 Luxembourg
Attention: Serge Christ - Network Relationship Management

Euroclear Bank SA/NV
Network Management
1, Boulevard du Roi Albert II
1210 Brussels
Attention: Sebastien Richard - Network Management

9th December 2013

Dear Sirs,

# Three-Points-Declaration ("Drei-Punkte-Erklärung")

We herewith represent that:

- 1. We have taken due note that the securities, which we at present credit or which we shall in future credit in the securities safekeeping account(s) kept for Clearstream Banking S.A. and/or Euroclear Bank SA/NV, belong to the customers of Clearstream Banking S.A. and/or Euroclear Bank SA/NV. These account(s) shall be designated "customers' account(s)".
- 2. With respect to the securities credited in these account(s), we may assert any security interest, lien, right of retention or any similar rights over these securities only for claims which arise from the purchase, administration and safe custody of these securities. We shall inform Clearstream Banking S.A. and/or Euroclear Bank SA/NV immediately if a third party levies any attachment upon or takes any other measures of compulsory execution in respect of these securities or of any other events which affect the securities.

T +44 (0) 1481 759000 F +44 (0) 1481 759020 HSBC Private Bank (C.I.) Limited, Park Place, Park Street, St Peter Port, Guernsey Channel Islands, GY1 1EE

www.hsbcprivatebank.com

Registered in Guernsey no. 14169 Regulated by the Guernsey Financial Services Commission





Page 2 of 2

3. Securities held for Clearstream Banking S.A. and/or Euroclear Bank SA/NV are represented by global certificates immobilised and kept in safe custody either in our own vaults or by our depositary banks in their own vaults in their home country. Under the respective depositary agreements, such depositary banks are not entitled, without our prior written consent, to delegate its duties to a third party in or outside their home country.

Yours sincerely,

For and on behalf of HSBC Private Bank (C.I.) Limited

as Sub-Depositary



VAT : LU 113 97 454

Prière de rappeler les références dans votre réponse:

N. Réf: Fiscal Agencies / MP

V. Réf:

Clearstream Banking S.A. Network Management 42, avenue JF Kennedy L-1855 Luxembourg

# Three-Points-Declaration ("Drei-Punkte-Erklärung")

Dear Sirs.

With reference to the circular 06-2010 issued by the Bundesanstalt für Finanzdienstleistungsaufsicht (BaFin), we herewith represent that:

- 1. We have taken due note that the securities, which we at present credit or which we shall in future credit in the securities safekeeping accounts kept for Clearstream Banking S.A., belong to the customers of Clearstream Banking S.A.. These accounts shall be designated "customers' account(s)".
- 2. With respect to the securities credited in these accounts, we may assert any security interest, lien, right of retention or any similar rights over these securities only for claims which arise from the purchase, administration and safe custody of these securities. We shall inform Clearstream Banking S.A. immediately if a third party levies any attachment upon or takes any other measures of compulsory execution in respect of these securities or of any other events which affect the securities.
- 3. Our bank only holds the following securities as common depository for Clearstream and Euroclear: parts in undertakings for collective investment represented by a global note, bonds and warrants. For such securities, we hold the global note representing the securities in our own yaults.

Yours sincerely,

Marc PEDRETTI Head of Fiscal Agencies Jean-Marie PAQUET
Head of Corporate Action

Income Cøllections – New Issues



# Mizuho Trust & Banking (Luxembourg) S.A.

Office Address IB, rue Gabriel Lippmann L-5365 Munsbach

Phone (+352) 42.16.17-1 Fax (+352) 42.16.17-489 Mailing Address
P.O. Box 29
L-2010 Luxembourg

R.C.S. Luxembourg B-30235 VAT LU 14080709

#### Confidential

For the attention of:

Clearstream Banking S.A. Network Management 42, avenue JF Kennedy L-1855 Luxembourg

29 March 2011

# Three-Points-Declaration ("Drei-Punkte-Erklärung")

Dear Sirs,

With reference to the circular 06-2010 issued by the Bundesanstalt für Finanzdienstleistungsaufsicht (BaFin), we herewith represent that:

- 1. We have taken due note that the securities, which we at present credit or which we shall in future credit in the securities safekeeping accounts kept for Clearstream Banking S.A., belong to the customers of Clearstream Banking S.A.. These accounts shall be designated "customers' account(s)".
- 2. With respect to the securities credited in these accounts, we may assert any security interest, lien, right of retention or any similar rights over these securities only for claims which arise from the purchase, administration and safe custody of these securities. We shall inform Clearstream Banking S.A. immediately if a third party levies any attachment upon or takes any other measures of compulsory execution in respect of these securities or of any other events which affect the securities.
- 3. Securities that are dematerialized or immobilized with a Central Securities Depository (CSD) are represented by book-entry records on our account with the said CSD. Securities issued in definitive form are kept in safe custody either in our own vaults or by our depositary banks in their own vaults in their home country in safekeeping accounts designated in the name of Clearstream Banking S.A. Under the respective depositary agreements, such depositary banks are not entitled, without specific approval, to entrust a third party in and outside their home country with the safe custody of such securities or to transfer them to another country.

Furthermore, we shall ensure by adequate agreement with our depository banks that this Three-Point-Declaration shall be applicable for our depository banks accordingly and that

the depository banks shall be obliged to commit third parties entrusted with the effective safe custody accordingly as well.

Yours sincerely,

Junichi ISHII Managing Director & CEO

Takeshi KOZU
Executive Vice President



MUFG Bank, Ltd.
Ropemaker Place, 25 Ropemaker Street
London EC2Y 9AN
T: +44 (0)20 7588 1111
F. +44 (0)20 7628 8241

#### Confidential

For the attention of:

Clearstream Banking S.A. Network Management 42, avenue JF Kennedy L-1855 Luxembourg

05 APR 2018

## Three-Points-Declaration ("Drei-Punkte-Erklärung")

Dear Sirs,

With reference to the Circular 8/2015 issued by the Bundesanstalt für Finanzdienstleistungsaufsicht (BaFin), we herewith represent that:

- 1. We have taken due note that the securities, which we at present credit or which we shall in future credit in the securities safekeeping accounts kept for Clearstream Banking S.A., belong to the customers of Clearstream Banking S.A.. These accounts shall be designated "customers' account(s)".
- 2. With respect to the securities credited in these accounts, we may assert any security interest, lien, right of retention or any similar rights over these securities only for claims which arise from the purchase, administration and safe custody of these securities. We shall inform Clearstream Banking S.A. immediately if a third party levies any attachment upon or takes any other measures of compulsory execution in respect of these securities or of any other events which affect the securities.



MUFG Bank, Ltd.
Ropemaker Place, 25 Ropemaker Street
London EC2Y 9AN
T: +44 (0)20 7588 1111
F: +44 (0)20 7628 8241

3. Securities that are dematerialized or immobilized with a Central Securities Depository (CSD) are represented by book-entry records on our account with the said CSD. Securities issued in definitive form are kept in safe custody either in our own vaults or by our depositary banks in their own vaults in their home country in safekeeping accounts designated in the name of Clearstream Banking S.A. Under the respective depositary agreements, such depositary banks are not entitled, without specific approval, to entrust a third party in and outside their home country with the safe custody of such securities or to transfer them to another country.

Furthermore, we shall ensure by adequate agreement with our depository banks that this Three-Point-Declaration shall be applicable for our depository banks accordingly and that the depository banks shall be obliged to commit third parties entrusted with the effective safe custody accordingly as well.

Yours sincerely,

Ms. Katherine Willis Authorised signatory

T +44 (0)20 7570 1784



The Bank of New York Mellon London Branch One Canada Square London E14 5AL United Kingdom

# Confidential

For the attention of:

Clearstream Banking S.A. Network Management 42, avenue JF Kennedy L-1855 Luxembourg

26th June 2017

## Three-Points-Declaration ("Drei-Punkte-Erklärung")

Dear Sirs,

With reference to the Circular 8/2015 issued by the Bundesanstalt für Finanzdienstleistungsaufsicht (BaFin), we herewith represent that:

- 1. We have taken due note that the securities, which we at present credit or which we shall in future credit in the securities safekeeping accounts kept for Clearstream Banking S.A., belong to the customers of Clearstream Banking S.A.. These accounts shall be designated "customers' account(s)".
- 2. With respect to the securities credited in these accounts, we may assert any security interest, lien, right of retention or any similar rights over these securities only for claims which arise from the purchase, administration and safe custody of these securities. We shall inform Clearstream Banking S.A. immediately if a third party levies any attachment upon or takes any other measures of compulsory execution in respect of these securities or of any other events which affect the securities.

3. Securities that are dematerialized or immobilized with a Central Securities Depository (CSD) are represented by book-entry records on our account with the said CSD. Securities issued in definitive form are kept in safe custody either in our own vaults or by our depositary banks in their own vaults in their home country in safekeeping accounts designated in the name of Clearstream Banking S.A. Under the respective depositary agreements, such depositary banks are not entitled, without specific approval, to entrust a third party in and outside their home country with the safe custody of such securities or to transfer them to another country.

Furthermore, we shall ensure by adequate agreement with our depository banks that this Three-Point-Declaration shall be applicable for our depository banks accordingly and that the depository banks shall be obliged to commit third parties entrusted with the effective safe custody accordingly as well.

Yours sinearely,

Raymond F. Morison Managing Director



The Bank of New York Mellon SA/NV Luxembourg Branch Vertigo Building - Polaris 2-4 rue Eugène Ruppert L-2453 Luxembourg Luxembourg T +352 24 524 1 F +352 24 524 2000

#### Confidential

For the attention of: Mr. Timothy Branch The Bank Of New York Mellon, London Branch Merck House, Seldown, Poole, Dorset BH15 1PX United Kingdom

15 May 2017

#### Three-Points-Declaration ("Drei-Punkte-Erklärung")

Dear Sirs.

With reference to the circular 08/2015 issued by the Bundesanstalt für Finanzdienstleistungsaufsicht (BaFin), we herewith represent that:

- 1. We have taken due note that the securities which we at present credit, or which we shall in future credit, to the securities safekeeping account kept by us for The Bank of New York Mellon, London Branch in its role as common depositary for Clearstream Banking S.A. and Euroclear Bank SA/NV belong to the customers of Clearstream Banking S.A. and Euroclear Bank SA/NV. This account shall be designated a "customer account".
- 2. With respect to the securities credited to these accounts, we may assert any security interest, lien, right of retention or any similar rights over these securities solely for claims which arise from the purchase, administration and safe custody of these securities. We shall inform The Bank of New York Mellon, London Branch as soon as reasonably practicable if a third party levies any attachment upon or takes any other measures of compulsory execution in respect of these securities or of any other events which affect the securities provided always that we are aware and informed in advance thereof by such third party and provided the provision of the information does not cause us to breach any applicable legislation.
- 3. We do not hold securities that are dematerialized or immobilized with a Central Securities Depository (CSD).

Yours sincerely.

Rick Daniels

Erik Van Os

R.C.S. Luxembourg: B105087. V.A.T. LU 23482846

The Bank of New York Mellon SA/NV is a Belgian public limited liability company (société anonyme/naamloze vennootschap), authorized and regulated as a credit institution by the National Bank of Belgium (NBB), and a subsidiary of The Bank of New York Mellon a banking corporation organized under the laws of the State of New York, U.S.A



For the attention of:

Clearstream Banking S.A. Network Management 42, Avenue JF Kennedy L-1855 Luxembourg

25 May 2011

# Three-Points-Declaration ("Drei-Punkte-Erklärung")

Dear Sirs,

We herewith represent that:

- 1. We have taken due note that the securities, which we at present credit or which we shall in future credit in the securities safekeeping accounts kept for Clearstream Banking S.A., belong to the customers of Clearstream Banking S.A.. These accounts shall be designated "customers' account(s)".
- 2. With respect to the securities credited in these accounts, we may assert any security interest, lien, right of retention or any similar rights over these securities only for claims which arise from the purchase, administration and safe custody of these securities. We shall inform Clearstream Banking S.A. immediately if a third party levies any attachment upon or takes any other measures of compulsory execution in respect of these securities or of any other events which affect the securities.
- 3. Securities held for Clearstream Banking S.A. are represented by global certificates immobilised and kept in safe custody either in our own vaults or by our depositary banks in their own vaults in their home country. Under the respective depositary agreements, such depositary banks are not entitled, without our prior written consent, to delegate its duties to a third party in or outside their home country.

Yours sincerely,

The Hongkong and Shanghai Banking Corporation Ltd as Common Depositary

Rita P C Sit

Authorised Signatory

The Hongkong and Shanghai Banking Corporation Limited Corporate Trust and Loan Agency, Hong Kong Office: L30 HSBC Main Building, I Queen's Road Central, Hong Kong

Tel: (852) 2822 4427 Fax: (852) 2801 5586

Web: www.hsbcnet.com

YEUNG CHI FAI



For the attention of:

Clearstream Banking S.A. Network Management 42, Avenue JF Kennedy L-1855 Luxembourg

25 May 2011

# Three-Points-Declaration ("Drei-Punkte-Erklärung")

Dear Sirs.

We herewith represent that:

- 1. We have taken due note that the securities, which we at present credit or which we shall in future credit in the securities safekeeping accounts kept for Clearstream Banking S.A., belong to the customers of Clearstream Banking S.A.. These accounts shall be designated "customers' account(s)".
- 2. With respect to the securities credited in these accounts, we may assert any security interest, lien, right of retention or any similar rights over these securities only for claims which arise from the purchase, administration and safe custody of these securities. We shall inform Clearstream Banking S.A. immediately if a third party levies any attachment upon or takes any other measures of compulsory execution in respect of these securities or of any other events which affect the securities.
- 3. Securities held for Clearstream Banking S.A. are represented by global certificates immobilised and kept in safe custody either in our own vaults or by our depositary banks in their own vaults in their home country. Under the respective depositary agreements, such depositary banks are not entitled, without our prior written consent, to delegate its duties to a third party in or outside their home country.

Yours sincerely,

The Hongkong and Shanghai Banking Corporation Ltd

as Common Safe Keeper

**Authorised Signatory** 

The Hongkong and Shanghai Banking Corporation Limited Corporate Trust and Loan Agency, Hong Kong Office: L30 HSBC Main Building, I Queen's Road Central, Hong Kong

Rita P C Sit

Tel: (852) 2822 4427 Fax: (852) 2801 5586

Web: www.hsbenet.com

YEUNG CHI FAI

Authorised Signatory

.019 (220799)



To: The Hongkong and Shanghai Banking Corporation Limited, Head Office, Hong Kong

16 May 2011

#### 3-Point-Declaration

- 1. We, as your sub-custodian, have taken due note that your customer's safekeeping account/s numbered as set out in Schedule A is/are recognized in our books and records as being customer account/s (or customers' customer account/s) of your customer and the assets in this/these account/s are recognized as customer assets (or customers' customer assets) of your customer. For clarity of identification we confirm your customer's account/s is/are designated "customer account".
- 2. With respect to the securities held in this/these account/s, we may not assert any security interest, lien, right of retention or any similar rights over a particular security except for claims which arise from the purchase, administration and safe custody of that security. We shall inform your customer immediately if a third party levies any attachment upon or takes any other measures of compulsory execution in respect of these securities or of any other events which affect the securities.
- The securities are kept in our safe custody at a place within the frontiers of Singapore. Without your customer's consent we will neither entrust to a third party the effective safe custody of such securities nor transfer them to another country.

For and on behalf of

The Hongkong and Shanghai Banking Corporation Limited, Singapore Branch

Victor Mills

Head, Sub-Custody and Clearing Singapore

**HSBC Securities Services** 

Tel: (65) 6530 5000 Fax: (65) 6224 0351



U.S. BANK GLOBAL CORPORATE TRUST SERVICES 125 Old Broad Street, Fifth Floor London, EC2N 1AR

Tel: +44(0) 207 330 2000 Fax: +44(0) 207 365 2577

For the attention of:

Clearstream Banking SA 42 av JF Kennedv 1855 Luxenmourg City Luxembourg

21 June 2013

# Three-Point-Declaration ("Drei-Punkte-Erklärung")

Dear Sirs,

With reference to the circular 06-2010 issued by the Bundesanstalt für Finanzdienstleistungsaufsicht (BaFin), we herewith represent that:

- 1. We have taken due note that the securities, which we at present credit or which we shall in future credit in the securities safekeeping accounts kept for Clearstream Banking SA, belong to the customers of Clearstream Banking SA. These accounts shall be designated "customers' account(s)".
- 2. With respect to the securities credited in these accounts, we may assert any security interest, lien, right of retention or any similar rights over these securities only for claims which arise from the administration and safe custody of these securities. We shall inform Clearstream Banking SA immediately if a third party levies any attachment upon or takes any other measures of compulsory execution in respect of these securities or of any other events which affect the securities.
- 3. The securities are kept in safe custody either in our own vaults in safekeeping accounts designated in the name of Clearstream Banking SA or by our depositary banks in their own vaults in their home country in safekeeping accounts designated in the name Clearstream Banking SA. Under the respective depositary agreements, such depositary banks are not entitled, without specific approval, to entrust a third party in and outside their home country with the safe custody of such securities or to transfer them to another country.





Furthermore, we shall ensure by adequate agreement with our depository banks that this Three-Point-Declaration shall be applicable for our depository banks accordingly and that the depository banks shall be obliged to commit third parties entrusted with the effective safe custody accordingly as well.

For purposes of this declaration, the term "depositary bank" shall mean sub-depositaries appointed by us under the terms of the relevant Common Depositary Agreement and sub-safekeepers appointed by us under the terms of the relevant Common Safekeeper Agreement.

Yours sincerely,

Signed:

Name: TOM CUBITY

Date: 21 2013

Signed: Juny

Name: JAMES KING

Date: 2 6 2013