

# Privacy Notice for Sponsorship Events

Under this Privacy Notice (hereinafter the “Notice”), **Clearstream Fund Centre S.A., Clearstream Australia Limited, Clearstream Fund centre Hong Kong Limited, Clearstream Fund Centre AG, Kneip Communication S.A. and FundsDLT** hereinafter “We” or “Us”) informs you how We process your personal data on Our Events (hereinafter also “Event”) and – if available for this event- on Our Events App (hereinafter also “App”), available on Google Play Store or Apple App Store. Your personal data means any information relating to you (hereinafter the “Personal Data”), such as name or contact details.

We pay special attention to the processing of Personal Data in accordance with the General Data Protection Regulation EU 2016/679 (“GDPR”) and applicable national data protection laws.

## 1. Joint Controllers

### 1.1 Our identity and contact details

Joint controllers according to Article 4 Paragraph 7 and Article 26 GDPR are **Clearstream Fund Centre S.A., Clearstream Australia Limited, Clearstream Fund centre Hong Kong Limited, Clearstream Fund Centre AG, Kneip Communication S.A. and FundsDLT**.

we strive to provide uniform support for customers and interested parties and therefore work together for the purposes mentioned under 2.1, so that **the individual Clearstream Fund Services companies** act as jointly responsible. We have concluded an agreement for this joint responsibility in accordance with Article 26 GDPR. We will inform you of the essential content of this agreement under point 6.

We have set up the following contact point for your questions and suggestions:

Clearstream Fund Centre S.A.  
42, Avenue JF Kennedy  
L-1855 Luxembourg  
Luxembourg

### 1.2 Contact details of Our data protection officer

Our data protection officer is:

Data Protection Officer CFCL  
Clearstream Fund Centre S.A.  
42 Avenue JF Kennedy  
L-1855 Luxembourg  
Luxembourg

Or send an email to: [dataprotection@clearstream.com](mailto:dataprotection@clearstream.com)

## 2. Purpose, Categories of Personal Data, Legal Basis and Retention

Your information is used to allow your attendance at the event, use of the App, and to help us understand and improve your experience. We collect Personal Data when you voluntarily provide it, such as when you register for the event and the app (if available), contact us, or use certain parts of the App.

## **2.1 Categories of your Personal Data, purposes, legal basis and Retention period of Our processing**

We process the following categories of your Personal Data for the following purposes with the following legal basis and retention period:

**2.1.1 Event Registration Data** (Full name; contact details, including email address; password; date of birth; billing data; position/job title; phone and company name) obtained when you register for the event. Which data is collected in the various entry masks depends on the individual entry fields. Mandatory information fields will be marked accordingly. All other information shall be voluntary. We may share your registration data (name; contact details, including email address; position and company) with bodies involved in the organization of the event for the purpose of access control to the building/event. In order to help structure the discussion and execution of the event, we may share your registration data (Position/job title, company name and country) with the moderator, speakers, and panelists prior to the event. These bodies will use your registration data only for the purposes mentioned above and are obliged to delete the data after the event. The legal basis is Art. 6 para. 1 lit. (f) of the GDPR, permitting the processing of Personal Data for our legitimate interest. The period for which your Personal Data will be stored by Us is as long as necessary to carry out Our relationship with you. Insofar as, pursuant to Art. 6 para. 1 lit. (c) GDPR, we are obliged to retain your personal data for a longer period of time due to tax and commercial duties of storage and documentation, these deadlines are decisive.

### Sharing of event registration data to other recipients (e.g. Sponsors):

Based on your consent (Art. 6 para. 1a GDPR) we may share name and surname, email address, job title and company name with the sponsor for contacting you prior to and after the event and for sending further information (such as information about this event, product information, surveys and personalized invitations for further relevant events). You can revoke your given consent at any time with effect for the future. For the avoidance of doubt, where consent is given by the Data Subjects, such consent shall be construed distinctly from any consent given in the context of confidentiality and/or professional secrecy compliance obligations.

**2.1.2 Personal Data within communication** such as name, address, telephone number or e-mail address for the purpose of you making direct contact with Us, answering an inquiry. The legal basis is Art. 6 para. 1 lit. (f) of the GDPR, permitting the processing of Personal Data for the purposes of Our legitimate interests in processing and answering your request. The period for which your Personal Data will be stored by Us is as long as necessary to achieve the purpose of your request, so until your request is fully processed and no further communication with you is required or desired. Insofar as, pursuant to Art. 6 para. 1 lit. (c) GDPR, we are obliged to retain your personal data for a longer period of time due to tax and commercial duties of storage and documentation, these deadlines are decisive.

**2.1.3 Feedback Data** (e.g. Name and email address) obtained when we may invite you once after the event to participate in questionnaires and surveys regarding the recent event. These questionnaires and surveys will be generally designed in a way that they can be answered without any Personal Data. If you nonetheless enter Personal Data in a questionnaire or survey, we may

use such Personal Data to improve our events and services. The legal basis is Art. 6 para. 1 lit. (f) of the GDPR, permitting the processing of Personal Data for the purposes of Our legitimate interests in improving our events and services. The period for which your Personal Data will be stored by Us is as long as necessary to carry out Our relationship with you. Insofar as, pursuant to Art. 6 para. 1 lit. (c) GDPR, we are obliged to retain your personal data for a longer period of time due to tax and commercial duties of storage and documentation, these deadlines are decisive.

**2.1.4 Speaker Data** (Name, position and company, CV summary) obtained when you are a speaker at the event. Speaker Data will be visible for participants of the event in the App, on the event website and in the event documents or brochures. The legal basis is Art. 6 para. 1 lit. (a) of the GDPR, permitting the processing of Personal Data for the purposes that you have consented to. The period for which your Personal Data will be stored by Us is as long as necessary to carry out Our relationship with you. Insofar as, pursuant to Art. 6 para. 1 lit. (c) GDPR, we are obliged to retain your personal data for a longer period of time due to tax and commercial duties of storage and documentation, these deadlines are decisive.

**2.1.5 Travel Management Data** (e.g. Name, reservation and billing data) obtained when you request us to arrange or reserve your flight to or your hotel at the event. We may use such Personal Data only to organize your travel management for the event. The legal basis is Art. 6 para. 1 lit. (a) of the GDPR, permitting the processing of Personal Data for the purposes that you have consented to. The period for which your Personal Data will be stored by us is as long as necessary to carry out Our relationship with you. Insofar as, pursuant to Art. 6 para. 1 lit. (c) GDPR, we are obliged to retain your personal data for a longer period of time due to tax and commercial duties of storage and documentation, these deadlines are decisive.

**2.1.6 Photo and Sound recordings** obtained for public relations work, we may take photos or create sound recordings and, if applicable, live streams of the event. The pictures and if applicable recordings of speakers, participants and guests may be further processed and distributed, if necessary, unless you object to this processing in your individual case. To object to this processing, please contact the organizer of the event ([events@deutsche-boerse.com](mailto:events@deutsche-boerse.com)) or the photographer/cameraman at the event. The photos and sound recordings may be used in articles and photo galleries on our website, on our social media channels and in our newsletters and publications. We will point this out when registering for the event and at the event location. We may also pass on the photos and recordings to media representatives as part of our public relations work. We also provide the persons and organizations involved in our event with image and sound material on request. The legal basis for the processing is Art. 6 para. 1 lit. f of the GDPR, permitting the processing of Personal Data for the purposes of Our legitimate interests in our public relations work. The period for which your Personal Data will be stored by Us is as long as necessary to carry out Our relationship with you.

**2.1.7 Special categories of personal data** obtained when you provide us information about your health for the purpose of identifying and being considerate of individuals who have disabilities or special dietary requirements throughout the event. If you do not provide any such information about disabilities or special dietary requirements, we will not be able to take any respective precautions. The legal basis is Art. 6 para. 1 lit. (a) of the GDPR, permitting the processing of Personal Data for the purposes that you have consented to. The period for which your Personal Data will be stored by Us is as long as necessary to carry out Our relationship with you. Insofar as, pursuant to Art. 6 para. 1 lit. (c) GDPR, we are obliged to retain your personal data for a longer period of time due to tax and commercial duties of storage and documentation, these deadlines are decisive.

### **In case of the Usage of an App for the Event:**

We process the following categories of your Personal Data in case of the availability of an App for the specific event for the following purposes with the following legal basis and retention period:

**2.1.8 App Registration Data** (name; email address; position and company) obtained when you register for the App. Name, position and company will be visible for other registered participants of the event in the app. You have the option of volunteering additional profile data in the app to describe yourself in greater detail. Further personal data will only be visible when you voluntarily add this data by maintaining your app profile or communicate with other participants via the App. The legal basis is Art. 6 para. 1 lit. (a) of the GDPR, permitting the processing of Personal Data for the purposes that you have consented to. The period for which your Personal Data will be stored by Us is as long as necessary to carry out Our relationship with you (The App will be deleted 12 months after the event). Insofar as, pursuant to Art. 6 para. 1 lit. (c) GDPR, we are obliged to retain your personal data for a longer period of time due to tax and commercial duties of storage and documentation, these deadlines are decisive.

**2.1.9 App Usage Data** (name; email address; password; photograph; position and company) obtained when you maintain your app profile and/or use the App. Name, position and company will be visible for other registered participants of the event in the app for the purpose of communication and the exchange of ideas. Further personal data will only be visible when you voluntarily add these data by maintaining your app profile or communicate with other participants. The legal basis is Art. 6 para. 1 lit. (b) of the GDPR, permitting the processing of Personal Data for the purposes of the performance of a contract. The period for which your Personal Data will be stored by Us is as long as necessary to carry out Our relationship with you (The App will be deleted 12 months after the event). Insofar as, pursuant to Art. 6 para. 1 lit. (c) GDPR, we are obliged to retain your personal data for a longer period of time due to tax and commercial duties of storage and documentation, these deadlines are decisive.

**2.1.10 Mobile Device Usage Data** (e.g. IP address, unique device and customer identifiers [such as IMEI, IMSI, UDID], the type of mobile device and operating system you use to access our app) for the purposes of providing Our app and its content to you, prevention or detection of abuse of Our app. The legal basis is Art. 6 para. 1 lit. (f) of the GDPR, permitting the processing of Personal Data for the purposes of Our legitimate interests in prevention or detection of abuse (e.g. intrusion detection, system protection). The period for which your Personal Data will be stored by Us is as long as necessary for the respective purpose e.g. to provide the service during the active session.

**2.1.11 Communication Data in the App** (e.g. the voting or comment function) obtained when you use the app's communication functions. We always process your voting behavior (votings) without reference to your name and person. Votes submitted during votings are aggregated, and only the aggregate voting results are processed further. You can submit comments and questions with or without specifying your name. If questions and comments are assigned to your person and processed together with your name, we will inform you of this in the relevant function in the app. This is done to reply to questions/comments after the event. The legal basis is Art. 6 para. 1 lit. (f) of the GDPR, permitting the processing of Personal Data for the purposes of Our legitimate interests in processing your voting to an aggregated voting result and to answer your request in a comment. The period for which your Personal Data will be stored by Us is as long as necessary to carry out Our relationship with you, to reply to questions/comments after the event. Insofar as, pursuant to Art. 6 para. 1 lit. (c) GDPR, we are obliged to retain your personal data for a longer period of time due to tax and commercial duties of storage and documentation, these deadlines are decisive.

## **2.2 Do you have to provide your Personal Data to Us?**

The provision of your Personal Data is necessary to register as an event participant, use the App as a part of a closed user group. This means that you are obliged to provide your Personal Data to Us as part of the event registration process and when you decided to use the app and its functions. Such Personal Data in the App remain under your control at all times. You can correct the data published in your participant profile through the App or self-edit link.

The consequences if you do not provide your Personal Data to Us are that you will not be able to participate at the event or use the app. The Participation of the event and the usage of Our app is solely possible for registered participants of the event.

## **2.3 Do We make automated decisions on you?**

We do not make any automated decisions solely on automatic processing, including profiling, which produces legal effects concerning you or similarly significantly affects you to carry out Our relationship with you. (The App will be deleted 12 months after the event.)

## **3. Transfer of Personal Data to Third Parties**

We will not transfer your Personal Data to third parties except if such a transfer is permitted by law or you have explicitly consented to the transfer.

We may transfer your Personal Data to public authorities where this is required by the applicable law. A transfer of your Personal Data is also permitted if there is a suspicion of a criminal offence or the abuse of the services offered on Our app. In this event, We shall be entitled to transfer your Personal Data to the criminal prosecution authority.

In order to make certain services available to you, we may need to share your personal data with some of our service partners. These include our registration and App provider.

Otherwise, your personal data will be stored exclusively in Our database and on Our servers, or on those of Our commissioned data processing providers. Our commissioned data processing providers process personal data exclusively within the European Union or an adequate data protection level will be provided by the use of appropriate safeguards in accordance with Article 44 et seq. of the GDPR.

Personal data published by you in Our Event App may be globally accessible to other registered user of the Event App.

We will only share your Personal Data with other controllers for their own purposes such as cooperation partners under the condition that you explicitly and voluntarily agreed to such transfer of your Personal Data; in this case, we will obtain your consent separately from this Notice.

Under these conditions, recipients of your personal data can be for example:

- public bodies and institutions in the presence of a legal or regulatory obligation (eg. financial authorities),
- other companies and service providers (processors) in the following areas:
  - App Provider
  - Website Provider
  - Registration Provider

- Print Service Provider
- Telecommunications service providers
- Billing service provider
- Financial institutions
- Collection agencies
- Management consultancies as well as business and tax audit companies
- Bodies involved in the performance of the event
- Travel Service Provider, Hotels, Airlines

#### **4. Authorizations for the App (if applicable)**

To work on your device, the App needs to access various functions and data on the device. You need to grant certain authorizations to do so (Art. 6 (1) a GDPR). The authorization categories are programmed differently by the various manufacturers. With Android, for example, individual authorizations are grouped into authorization categories, and you can only agree to the authorization category as a whole. However, please remember that in the case of revocation, you may not have access to the full range of functions offered by our app. If you have granted authorizations, we will use them only to the extent described below:

##### **Internet:**

The app requires access to the internet via Wi-Fi or mobile communications to update event content and information.

##### **Camera:**

You can use the scanner to scan the personal QR code of another app user. The scanner accesses the camera on your end device to do so. It accesses the camera only if you have given your express consent.

##### **Location:**

Some functions require information about your location, e.g. the navigation or location display. If you have granted permission for this on your mobile device, we ascertain the geographical position of your mobile device. Data concerning your location is used only to process your query.

You can also activate the additional functions in the settings at any time. However, these features are accessed only after you activate them and grant your consent.

#### **5. Push-Notifications within the App Usage (if applicable)**

Below we describe how your personal information is processed when you subscribe to so-called push notifications through our services (messages sent to your mobile device even if you are not using our app). When you subscribed to push notifications, we send you announcements about the event and/ or notifications of private messages received through the App. You can turn these push notifications on and off in your mobile device's app settings. If you - e.g. when you first start

the app - activate push messages for the first time, a unique mobile device identification number (device ID) will be communicated to the service that provides the push functionality to your operating system provider (for Android: 'Google Cloud Messaging', for iOS 'Apple Push Notification Service'). This service returns a so-called Identifier ("Push Notification Identifier"), which makes it impossible to draw any conclusions about the device ID and therefore you as the user. The communication with the App push server is then always with this identifier. This ensures that in the context of the App, the device ID is also no longer used.

The push notifications can be deactivated and reactivated at any time. On a mobile device with Android, this is done e.g. via "System Preferences>Apps>Name of the Event> Permissions", on a mobile device with iOS via "System Preferences> Name of the Event> Notifications".

## **6. Joint responsibility**

The controllers are responsible for keeping a record of processing activities, carrying out data protection impact assessments or consulting the supervisory authorities. The controllers have committed to take appropriate technical and organizational security measures for the data to be processed in order to ensure and be able to prove that the processing is carried out in accordance with data protection regulations. The security measures must ensure a level of protection appropriate to the risk and are checked and updated if necessary. The technical and organizational measures must also ensure that the data is processed in accordance with the legal requirements. The responsible controllers inform each other immediately about disruptions in the operational process that pose a risk to the data as well as suspected data protection violations in connection with the personal data. The responsible controllers agree on the content of the report and keep themselves informed about the communication with the supervisory authority. This also applies if there is a legal obligation to inform you as a person of a data protection breach.

If you exercise one of your rights as a person vis-à-vis one of the responsible controllers, this controller will immediately inform the other controllers of this in writing. The responsible controller for fulfilling the rights of the data subject is, who is contacted directly by you. The controller in charge contacted by you takes on e.g. communicating with you, gathers the necessary information, checks the asserted right and implements it accordingly. The controllers are obliged to support each other in fulfilling such requests. They keep themselves informed about this on an ongoing basis and intend to reach agreement on all essential steps. You can exercise your rights against all responsible parties. Should measures become necessary in accordance with the asserted right of data subjects (e.g. correction, deletion, restriction, notifications to recipients, transfer and access), those responsible will implement this independently.

## **7. Your Rights**

Under applicable data protection laws, you have rights

- of access to, rectification of, and/or erasure of your Personal Data;
- to restrict or object to its processing;
- to tell Us that you do not wish to receive marketing information; and

- (in some circumstances) to require certain of your Personal Data to be transferred to you or a third party, which you can exercise by contacting Us at the details set out at the beginning of this Notice.
- To the extent Our processing of your Personal Data is based on your consent, you also have the right to withdraw your consent, without affecting the lawfulness of Our processing based on your consent before its withdrawal.

To exercise your rights, you can contact Us as set out in Section 1. above. You can also lodge a complaint about Our processing of your Personal Data with a data protection authority. A list and contact details of local data protection authorities is available [here](#).

You can correct the data published in your participant profile through the App or self-edit link.

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